

## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/824,941	04/15/2004	Kent Lee	GUID.105PA (CPI 02-115)

Crawford Maunu PLLC  
Suite 390  
1270 Northland Drive  
St. Paul, MN 55120

CONFIRMATION NO. 7555

## FORMALITIES LETTER



\*OC000000013086182\*

Date Mailed: 06/28/2004

2M 8/28/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/21/2004 SSITHIB1 00000043 500996 10824941

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 770.00 DA  
02 FC:1051 130.00 DA  
03 FC:1202 1188.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$1188** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$2088** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$1188**

- \$1188 for 66 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

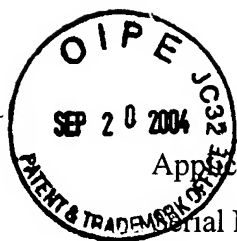


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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LEE et al. Examiner: Unassigned  
Serial No.: 10/824,941 Group Art Unit: 3736  
Filed: April 15, 2004 Docket No.: GUID.105PA  
(02-115)  
Title: SYSTEM AND METHOD FOR CHARACTERIZING PATIENT  
RESPIRATION

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the papers, as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 15, 2004.

By: Kelly S. Waltigney

Kelly S. Waltigney

RESPONSE TO NOTICE TO FILE MISSING PARTS

MAIL STOP MISSING PARTS .  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application, mailed June 28, 2004,

Applicants hereby attach the following:

- 1) a copy of the Notice to File Missing Parts;
- 2) a fully-executed Declaration by the inventor (4 sheets); and
- 3) a Petition for 1<sup>st</sup> Month Extension of Time, whereupon authorization is given to charge the below-referenced Deposit Account the required Extension fees.

\$770.00	Basic Filing Fee
130.00	Missing Parts Fee
+1,188.00	<u>Claims Surcharge</u>
	(66 total claims over 20)
<b>=\$2,088.00</b>	<b>TOTAL FEES</b>

Please charge Deposit Account No. 50-0996 (GUID.105PA) the requisite filing and missing parts fees, which total **\$2,088.00**. Further authorization is given to charge/credit said deposit account as required to complete this filing.

Respectfully submitted,

CRAWFORD MAUNU PLLC  
1270 Northland Drive, Suite 390  
St. Paul, MN 55120  
(651) 686-6633

By: Mark A. Hollingsworth

Name: Mark A. Hollingsworth

Reg. No.: 38,491

Enclosures:

Petition for One-Month Extension of Time.